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3736
#16 IDS
8/19/03

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

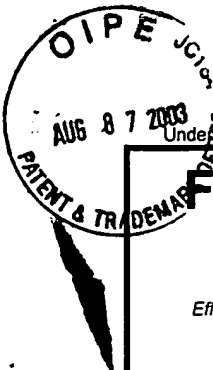
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/440,106	
	Filing Date	November 15, 1999	
	Confirmation Number	2546	
	First Named Inventor	TAYLOR, CHARLES S.	
	Group Art Unit	3736	
	Examiner Name	NASSER, ROBERT L.	
Total Number of Pages in This Submission	17	Attorney Docket Number	GUID-003DIV2
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form in Duplicate (2 pgs.) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (2 pgs) <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Statement Under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard PTO 1449 (12 pgs) 238 References	Remarks
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	ALAN W. CANNON, Reg. No. 34,977		RECEIVED AUG 11 2003 TECHNOLOGY CENTER R3700
Signature			
Date	August 5, 2003		

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450			
Typed or printed name	Maria J. Sousa		
Signature		Date	August 5, 2003

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Complete if Known	
TOTAL AMOUNT OF PAYMENT		Application Number 09/440/106	
(\$) 180.00		Filing Date November 15, 1999	
		Confirmation Number 2546	
		First Named Inventor Taylor, Charles S.	
		Examiner Name Nasser, Robert L.	
		Art Unit 3736	
		Attorney Docket No. GUID-003DIV2	

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				3. ADDITIONAL FEES			
<input checked="" type="checkbox"/> Deposit Account:							
Deposit Account Number 50-2653							
Deposit Account Name Law Office of Alan W. Cannon							
The Commissioner authorized to: (check all that apply)							
<input checked="" type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments							
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application							
<input checked="" type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account.							
FEE CALCULATION							
1. BASIC FILING FEE							
Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Description	Fee Paid			
1001	750	2001	375 Utility filing fee				
1002	330	2002	165 Design filing fee				
1003	520	2003	260 Plant filing fee				
1004	750	2004	375 Reissue filing fee				
1005	160	2005	80 Provisional filing fee				
SUBTOTAL (1)				0			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE							
		Fee from below		Fee Paid			
Total Claims	20	=	x	=			
Indep. Claims	3	=	x	=			
Multiple Dependent		=					
Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Description				
1202	18	2202	9 Claims in excess of 20				
1201	84	2201	42 Independent claims in excess of 3				
1203	280	2203	140 Multiple dependent claim, if not paid				
1204	84	2204	42 ** Reissue independent claims over original patent				
1205	18	2205	9 ** Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2) \$ 0							
**or number previously paid, if greater; For Reissues, see above.							
				4. OTHER FEES			
				1051 130 2051 65 Surcharge - late filing fee or oath			
				1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet			
				1053 130 1053 130 Non-English specification			
				1812 2,520 1812 2,520 For filing a request for <i>ex parte</i> reexamination			
				1804 920* 1804 920* Requesting publication of SIR prior to Examination action			
				1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action			
				1251 110 2251 55 Extension for reply within first month			
				1252 410 2252 205 Extension for reply within second month			
				1253 930 2253 465 Extension for reply within third month			
				1254 1,450 2254 725 Extension for reply within fourth month			
				1255 1,970 2255 985 Extension for reply within fifth month			
				1401 320 2401 160 Notice of Appeal			
				1402 320 2402 160 Filing a brief in support of an appeal			
				1403 280 2403 140 Request for oral hearing			
				1451 1,510 1451 1,510 Petition to institute a public use proceeding			
				1452 110 2452 55 Petition to revive - unavoidable			
				1453 1,300 2453 650 Petition to revive - unintentional			
				1501 1,300 2501 650 Utility issue fee (or reissue)			
				1502 470 2502 235 Design issue fee			
				1503 630 2503 315 Plant issue fee			
				1406 130 1460 130 Petitions to the Commissioner			
				1807 50 1807 50 Processing fee under 37 CFR 1.17(q)			
				1806 180 1806 180 Submission of Information Disclosure Stmt 180.00			
				8021 40 8021 40 Recording each patent assignment per property (times number of properties)			
				1809 750 2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))			
				1810 750 2810 375 For each additional invention to be examined (37 CFR § 1.129(b))			
				1801 750 2801 375 Request for Continued Examination (RCE)			
				1802 900 1802 900 Request for expedited examination of a design application			
				Other fee (specify) _____			
				SUBTOTAL (3) (\$) 180.00			
				*Reduced by Basic Filing Fee Paid			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Alan W. Cannon	Registration No. (Attorney/Agent)	34,977
Signature		Telephone	(408) 736-3554
		Date	August 5, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on this date.

Typed or Printed Name Maria J. Sousa

Signature

Maria J. Sousa

Date

August 5, 2003

**INFORMATION
DISCLOSURE STATEMENT**

Address to:

BOX:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket

GUID-003DIV2

First Named Inventor

Charles S. Taylor

Application Number

09/440,106

Filing Date

November 15, 1999

Group Art Unit

3736

Examiner Name

Nasser, Robert L.

Title

Surgical Devices For Imposing a
Negative Pressure to Stabilize Cardiac
Tissue During Surgery

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed **after** three months of the filing date or **after** the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. Copies of the references identified herein which were disclosed in parent applications Serial No. 08/870,687, filed June 6, 1997 (now U.S. Patent No. 6,032, 672); which is a Div of Serial No. 08/603,328, Filed February 20, 1996 (now U.S. Patent No. 5,727,569); are included herein, pursuant to the provisions of 37 C.F.R. Section 1.98(d). Accordingly copies of references which are not included are listed in bold on the PTO-1449.

03/03/2003 MDLACD 00000014 502653 09440106

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This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

The Commissioner is hereby authorized to charge the filing fee of \$180 for filing an Information Disclosure Statement to deposit account No. 50-2653, order number GUID-003DIV2 as noted on the Fee transmittal submitted in duplicate with this filing. Additionally, any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit of any overpayment is authorized to be charged to Deposit Account No. 50-2653, order number No. GUID-003DIV2.

Respectfully submitted,
LAW OFFICE OF ALAN W. CANNON

Date: August 5, 2003

By: 
Alan W. Cannon
Registration No. 34,977

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